

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Daniel M. Haller, et al.

Title: SYSTEM AND METHOD FOR FACILITATING XML ENABLED IMS  
TRANSACTIONS

Application No.: NEW APPLICATION Filed: HERewith

Examiner: Group Art Unit:

Atty. Docket No.: SVL920030123US1

COMMISSIONER FOR PATENTS  
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Alexandria, VA 22313-1450**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

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- ☒ Form(s) PTO/SB/08A and/or PTO/SB/08B  
☐ Other: n/a

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

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counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

STATEMENT UNDER 37 C.F.R. § 1.704(d)

If the above-identified application is an original application filed on or after May 29, 2000:

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

FEES DUE

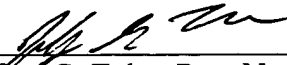
This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.
- ☐ before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.
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Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 09-0460.

Respectfully submitted,

1-26-2024  
Date

  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	New Application
Filing Date	Herewith
First Named Inventor	Daniel M. Haller
Art Unit	
Examiner Name	
Attorney Docket Number	SVL920030123US1

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 2002-0038336 A1	03-28-2002	Abileah et al.	
	BB	US- 2002-0042849 A1	04-11-2002	Ho et al.	
	CC	US- 2002-0178299 A1	11-28-2002	Teubner	
	DD	US- 6,507,856 B1	01-14-2003	Chen et al.	
	EE	US- 6,601,071 B1	07-29-2003	Bowker et al.	
	FF	US- 6,519,617 B1	02-11-2003	Wanderski et al.	
	GG	US- 6,253,200 B1	06-26-2001	Smedley et al.	
	HH	US-			
	II	US-			
	JJ	US-			
	KK	US-			
	LL	US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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Examiner Name	
Attorney Docket Number	SVL920030123US1

Sheet

2

of

2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	"HostBridge and WebSphere: Integrating CICS with IBM's Application Server," a HostBridge White Paper, 7/23/2002, pp. 1-34	
	BB	"S1215, WWW.IMS or Websphere Working with IMS," Ken Blackman, 39 pp. (date unknown)	
	CC	"Attunity Connect for Mainframe, Native OS/390 Adapters to Data and Legacy," 2003, pp. 1-3	
	DD	"Learning Management Systems XML and Web Services," Finn Gronbaek, IBM Corporation, copyright 2001, 4/20/03, pp. 1-29	
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